



ATTORNEY DOCKET NO. 049796-5001

Application No. 09/925,558

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
David R. Schwartz et al.	)	Confirmation No.: 4301
	)	
Application No.: 09/925,558	)	Group Art Unit: 2121
	)	
Filed: August 10, 2001	)	Examiner: M. Bell
	)	
For: ARTIFICIAL INTELLIGENCE (AI)	)	Attorney Docket No. 049796-5001
SYSTEMS AND METHODS FOR RISK	)	
ASSESSMENT	)	
(AS AMENDED)	)	

**ATTACHMENT**  
**(REPLACEMENT SHEETS 1-13)**



2/21 JPW/\$  
Image

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ASSESSMENT	)	
(AS AMENDED)	)	

**AMENDMENT TRANSMITTAL FORM**

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window, Mail Stop Amendment  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202  
Sir:

1. Transmitted herewith is an Amendment responding to the Office Action dated September 10, 2004.
2. Additional papers enclosed:

- |                                     |  |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Drawings: <input type="checkbox"/> Formal <input type="checkbox"/> Informal (Replacement Sheets 1-13)  |
| <input checked="" type="checkbox"/> | Information Disclosure Statement   |
| <input checked="" type="checkbox"/> | Form PTO-1449, <u>four (4)</u> references included   |
| <input type="checkbox"/>            | Citations  |
| <input type="checkbox"/>            | Declaration of Biological Deposit  |
| <input type="checkbox"/>            | Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence. |

3. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- ☐ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.
- ☒ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below:

<u>Total Months Requested</u>	<u>Fee for Extension</u>	<u>[Fee for Small Entity]</u>
<input checked="" type="checkbox"/> one month	\$ 120.00	\$ 60.00
<input type="checkbox"/> two months	\$ 450.00	\$ 225.00
<input type="checkbox"/> three months	\$ 1,020.00	\$ 510.00
<input type="checkbox"/> four months	\$ 1,590.00	\$ 795.00

Extension of time fee due with this request: **\$120.00**

If an additional extension of time is required, please consider this a Petition therefor.

- ☐ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

4. Constructive Petition

- ☒ EXCEPT for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0310. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR EXTENSION OF TIME in accordance with 37 C.F.R. § 1.136(a)(3).



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5. Fee Calculation (37 C.F.R. §1.16)

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest No. Previously Paid	Present Extra	at Rate of	Total Fees
Total Claims (37 C.F.R. §1.16(c))	31	minus	26	5	x \$50 each=	+ \$ 250
Independent Claims (37 C.F.R. §1.16(b))	3	minus	3	0	x \$360 each=	+ \$ 0
[ ] First presentation of Multiple dependent claim(s)					\$360.00	+ \$
SUB-TOTAL =						\$
Reduction by ½ for filing by a small entity						- \$
TOTAL FEE =						\$ 250

6. Fee Payment

- ☐ No fee is to be paid at this time.
- ☐ Check in the amount of \$ \_\_\_\_\_ for \_\_\_\_\_. The Commissioner is hereby authorized to charge any additional extension of time fee or additional fee for claims due to Deposit Account No. 50-0310.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, including fees due under 37 C.F.R. §§ 1.16 and 1.17, or credit any overpayment to Deposit Account 50-0310.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**Dated: January 10, 2005By: 

David J. Baltazar Reg. No. 53,964

**CUSTOMER NO. 009629****MORGAN, LEWIS & BOCKIUS LLP**

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Revised: 1/1/04